

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

PTO/SB/05 (06-03)
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Attorney Docket No.	016072-001300US
First Inventor	Mauersberger, Heiko
Title	METHOD AND DEVICE FOR DATA COMMUNICATION
Express Mail Label No.	EV 330852800 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 17]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 2]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper number of pages
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of ☒ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent
17. ☐ Other.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
Prior application information: Examiner _____ Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number



20350

PATENT TRADEMARK OFFICE.

OR ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

J. Georg Seka

Registration No. (Attorney/Agent)

24,491

Signature

J. Georg Seka

Date

July 9, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450. SF 1475340 v1

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

03605 U.S. PTO
10/616764
07/09/03

16367 U.S. PTO
07/09/03

PTO/SB/17 (05-03)
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Approved for use through 04/30/2003. OMB 0651-0032
This document displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 375

Complete if Known

Application Number Unassigned
Filing Date Herewith
First Named Inventor Mauerberger, Heiko
Examiner Name Unassigned
Art Unit Unassigned
Attorney Docket No. 016072-001300US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ MoneyOrder ☐ Other ☐ None

☒ Deposit Account

Deposit
Account
Number

20-1430

Deposit
Account
Name

Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-indicated deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001 750	2001 375			Utility filing fee	\$375
1002 330	2002 165			Utility filing fee	
1003 520	2003 260			Plant filing fee	
1004 750	2004 375			Reissue filing fee	
1005 100	2005 80			Provisional filing fee	

SUBTOTAL (1) \$375

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	11	-20** =	0	Extra Claims	Feas from below	Fee Paid
Independent Claims	2	-3** =	0		\$42	\$0
Multiple Dependent						

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1202 18	2202 9			Claims in excess of 20	
1201 84	2201 42			Independent claims in excess of 3	
1203 280	2203 140			Multiple dependent claim, if not paid	
1204 84	2204 42			** Reissue independent claims over original patent	
1205 18	2205 9			** Reissue claims in excess of 20 over original patent	

SUBTOTAL (2) \$0

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65					Surcharge - late filing fee or oath	
1052 50	2052 25					Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 65					Non-English specification	
1812 2,520	2812 1,260					For filing a request for reexamination	
1804 920*	2804 460*					Requesting publication of SIR prior to Examiner action	
1805 1,840*	2805 920*					Requesting publication of SIR after Examiner action	
1251 110	2251 55					Extension for reply within first month	
1252 410	2252 205					Extension for reply within second month	
1253 930	2253 465					Extension for reply within third month	
1254 1,450	2254 725					Extension for reply within fourth month	
1255 1,970	2255 985					Extension for reply within fifth month	
1401 320	2401 160					Notice of Appeal	
1402 320	2402 160					Filing a brief in support of an appeal	
1403 280	2403 140					Request for oral hearing	
1451 1,510	2451 755					Petition to institute a public use proceeding	
1452 110	2452 55					Petition to revive - unavoidable	
1453 1,300	2453 650					Petition to revive - unintentional	
1501 1,300	2501 650					Utility issue fee (or reissue)	
1502 470	2502 235					Design issue fee	
1503 630	2503 315					Patent issue fee	
1460 130	2460 65					Petitions to the Commissioner	
1807 50	2807 25					Petitions related to provisional applications	
1806 180	2806 90					Submission of Information Disclosure Stmt	
8021 40	28021 20					Recording each patent assignment per property (times number of properties)	
1809 750	2809 375					Filing a submission after final rejection (37 CFR § 1.129(b))	
1810 750	2810 375					For each additional invention to be examined (37 CFR § 1.129(b))	
1801 750	2801 375					Request for Continued Examination (RCE)	
1802 900	2802 450					Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

(\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) J. Georg Seka

Registration No. (Attorney/Agent) 24,491

Telephone 415-576-0200

Signature

Date

7/9/03

WARNING: Information of this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122, 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, SF 1475338 v1

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-760-9199) and select option 2.